



# EPA Award Document

Title: Decentralized Wastewater Treatment Demo Project

[Awards](#)  
[Active](#)

## Document Status

Document Phase: **Final**

Last Modified: 05/23/2015

Current Editor: Jennifer Brooks

Delegate: Jacob Burney

## Application Information

Amount Requested: \$3,648,970

Date Received: 03/25/2010

Date Signed: 03/22/2010

## Award Information

Awarding Region: EPA HQ

Grant Number: **83310702-1**

Record Type: F

Program Code: XP - Water Infrastructure Grants as Authorized by EPA Appropriations

Grant Type: Non-Construction

Agreement Type: Assistance Amendment

Payment Method: ACH

ACH#: 40236

Payment Office: Las Vegas Finance Center

Postponed:

Funding Pkg. Date: 07/28/2014

Mailing Date: 07/31/2014

Award Date: 07/28/2014

Release Date:

ODN: XP83310702

## Recipient Information

### Name and Address of Recipient

Applicant Type: Special District

Applicant Name: FL KeysAA - Florida Keys Aqueduct Authority

DUNS: 073861882

Address: 1100 Kennedy Drive

City: Key West

County: Monroe

State: FL

Zip: 33040-4021

Congressional Dist: 20

EIN: 59-6001123

Organizational Unit:

Sub Org. Unit:

NSF Code:

Minority Institution:

### Name and Address of Payee

Payee Name: Deputy Executive Director/Chief Financial Officer  
Florida Keys Aqueduct Authority

Address: 1100 Kennedy Drive

City: Key West

State: FL

Zip: 33040-4021

### Recipient Point Of Contact

Project Manager: Tom Walker

Title: Director of Engineering

Fax: 305-295-2223

E-Mail: [twalker@fkaa.com](mailto:twalker@fkaa.com)

Phone: 305-295-2140

Address: 1100 Kennedy Drive

City: Key West

State: FL

Zip: 33040-4021

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**EPA Contacts**

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**Grant Specialist:** Jennifer Brooks**GS ID:** RCW**Office:** 1200 Pennsylvania Ave, NW,  
3903R  
Washington, DC 20460**Mail Code:** 3903R**E-Mail:** Brooks.Jennifer@epa.gov**Phone:** 202-564-6374

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**Project Officer:** Robert Bastian**Approving Region:** EPA HQ**Title:****E-Mail:** Bastian.Robert@epa.gov**Phone:** 202-564-0653**Address:** 1200 Pennsylvania Ave, NW**Mail Code:** 4204M**City:** Washington**State:** DC**Zip:** 20460

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**Approval Office Div:** Office of Water**Address:** 1200 Pennsylvania Ave, NW**City:** Washington**State:** DC**Zip:** 20460

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**Approval Office Address Printed on the OMB****Region:** HQ**Division:** Office of Water**Address:** 1200 Pennsylvania Ave, NW**City:** Washington**State:** DC**Zip:** 20460

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**Congressional Liaison:** Barbara Brooks**Phone:** 202-564-2783

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**AAShip/RAShip:** OW - Office of Water

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**Division/Office:**

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**Lab/Office:**

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**Branch:**

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**Project Information**

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**CFDA:** 66.202 - Congressionally Mandated Projects

**Media:** Water

**Project Title:** Decentralized Wastewater Treatment Demo Project

**Description:** This project will demonstrate decentralized wastewater treatment in the lower Florida Keys. The information will serve as a management information resource and example of the capabilities and advantages of decentralized treatment systems with centralized management. Onsite & decentralized treatment technology will be used to achieve high levels of wastewater treatment as established by the Florida state laws

**Explan. of Changes:**

This project will demonstrate decentralized wastewater treatment in the lower Florida Keys. The information will serve as a management information resource and example of the capabilities and advantages of decentralized treatment systems with centralized management. Onsite & decentralized treatment technology will be used to achieve high levels of wastewater treatment as established by the Florida state laws

Time Extension (Amendment); Your organization's written request dated 7/18/2014, has been received and approved. The project/budget period has been extended until 09/30/2016. Recipient's signature is not required for this amendment.

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**Budget Period Cost:** \$4,865,293

**Project Period Cost:** \$4,865,293

**Project Period Start:** 03/01/2010

**Project Period End:** 09/30/2016

**Budget Period Start:** 03/01/2010

**Budget Period End:** 09/30/2016

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**Applicant ID:**

**State ID:**

**Statutory Auth:** Appropriations Act of 2000 (PL 106-74)

**Regulatory Auth:** 40 CFR PART 31

**Special Tracking Code:** E

**High Risk /**

**Special Payment:**

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**Areas Affected by Project**

**State(s):** FL

**County/Countries:** Monroe

**City/Cities:** Key West

**Congressional Dist:** 20

**Zip Code(s):** 33040

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**International**

**Work Performed outside the U.S.:** No

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**Competition, EPA Order 5700.5A1 - Amendment**

Was the amendment competed in accordance with the Competition Policy? No

Enter the reason why this amendment was not competed based on the Competition Policy (EPA Order 5700.5A1).

The amendment is a no-cost amendment for a time extension to perform the work and/or for using unexpended funds for additional within scope work. (Section 13.b.(3))

Competition code: 1

**Award Document Attachments****Fiscal Information**

Vendor Code: 596001123AV

Accounting Period:

COMPASS Status: NA

Line	Site Name	Req No	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code

**Award Amount**

Funds	Former Award	This Action	Amended Total
EPA Amount This Action:	\$3,648,970	\$0	\$3,648,970
EPA In-Kind Amount:	\$0	\$	\$0
Unexpended Prior Yr. Bal:	\$0	\$	\$0
Other Federal Funds:	\$0	\$	\$0
Recipient Contribution:	\$1,216,323	\$	\$1,216,323
State Contribution:	\$0	\$	\$0
Local Contribution:	\$0	\$	\$0
Other Contribution:	\$0	\$	\$0
Allowable Project Cost:	\$4,865,293	\$0	\$4,865,293

**Approved Budget**

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$737,497
2. Fringe Benefits	\$250,749
3. Travel	\$36,525
4. Equipment	\$75,000
5. Supplies	\$25,000
6. Contractual	\$3,740,522
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$4,865,293
10. Indirect Costs: Rate % Base	\$0
11. Total (Share: Recipient 25.00 % Federal 75.00 %.)	\$4,865,293
12. Total Approved Assistance Amount	\$3,648,970
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$0
15. Total EPA Amount Awarded To Date	\$3,648,970

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**Administrative & Programmatic Conditions**

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**EPA Review**

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**Read Access:** Controlled**Readers:** Matthew Bell  
Adam Fett  
Jill Young  
Jennifer Brooks**Submitted:****Approvers:****Submitted:****Due Date:**

Response	Approver	Date

**Grant Coordinator:** Jennifer Brooks**EPA Signature**

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**Award Official:** Jill Young**Printed Name:** Jill D. Young**Title:** Chief - Grants Management  
Branch A**Phone:** 202-564-1342**Delegate:** Jennifer Brooks**Title:** Grants Specialist**Phone:** 202-564-6374

Signature: - Signed by Jennifer Brooks/DC/USEPA/US on 07/28/2014 01:57:15 PM, according to /USEPA/US

**Approval:** Approved**Date:** 07/28/2014**Notes:****Attachment:**

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**Recipient Review**

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**Recipient Acceptance**

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**Action Code Table**

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Entry	Date	Action
P	07/28/2014	3 - Funding Recommendation w/Change Request Received By GMO
E	07/28/2014	F - Award

**Origination Information**

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**Notifications History**

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Document Readers



**Awards  
Active**

## Award Budget Information Non-Construction Programs

Title: Decentralized Wastewater Treatment Demo Project->

SF424A Page: 1

Document Status

Current Editor: Jennifer Brooks

Last Modified: 05/23/2015

Delegate: Jacob Burney

Grant Program Function or Activity  (a)	Catalog of Federal Domestic Assistance Number  (b)	Estimated Unobligated Funds		New or Revised Budget		TOTAL  (g)
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	
1. Congressionally Mandated Projects	66.202	\$3,648,970	\$1,216,323	\$	\$	\$4,865,293
2.		\$	\$	\$	\$	\$0
3.		\$	\$	\$	\$	\$0
4.		\$	\$	\$	\$	\$0
5. TOTALS		\$3,648,970	\$1,216,323	\$0	\$0	\$4,865,293

  

6. Object Class Categories	Grant Program, Function or Activity Title:				TOTAL (5)
	(1) Federal	(2) Recipient	(3)	(4)	
a. Personnel	\$553,123	\$184,374	\$	\$	\$737,497
b. Fringe Benefits	\$188,062	\$62,687	\$	\$	\$250,749
c. Travel	\$27,394	\$9,131	\$	\$	\$36,525
d. Equipment	\$56,250	\$18,750	\$	\$	\$75,000
e. Supplies	\$18,750	\$6,250	\$	\$	\$25,000
f. Contractual	\$2,805,391	\$935,131	\$	\$	\$3,740,522
g. Construction	\$	\$0	\$0	\$	\$0
h. Other	\$	\$0	\$0	\$	\$0
i. Total Direct Charges (sum of 6a - 6h)	\$3,648,970	\$1,216,323	\$0	\$0	\$4,865,293
j. Indirect Charges	\$	\$	\$	\$	\$0
k. TOTALS (sum of 6i and 6j) (Cost Share Recipient: Federal: )	\$3,648,970	\$1,216,323	\$0	\$0	\$4,865,293
l. Total Approved Assistant Amount	\$	\$	\$	\$	0
7. Program Income	\$	\$	\$	\$	\$0

  

Attachments:	
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## Application Information

Amount Requested: \$3,648,970

Date Received: 03/25/2010

Date Signed: 03/22/2010

## Award Information

Awarding Region: EPA HQ

Grant Number: **83310702-0**

Record Type: 0

Program Code: XP - Water Infrastructure Grants as Authorized by EPA Appropriations

Grant Type: Non-Construction

Agreement Type: Cooperative Agreement

Payment Method: ACH

ACH#: 40236

Payment Office: Las Vegas Finance Center

Postponed:

Funding Pkg Date: 04/21/2010

Mailing Date: 06/24/2010

Award Date: 06/17/2010

Release Date:

Notification Date:

ODN: XP83310702

## Recipient Information

### Name and Address of Recipient

Applicant Type: Special District

Applicant Name: **FL KeysAA - Florida Keys Aqueduct Authority**

DUNS: 073861882

Address: 1100 Kennedy Drive

City: Key West

County: Monroe

State: FL

Zip: 33040-4021

Congressional Dist: 20

EIN: 59-6001123

Organizational Unit:

Sub Org. Unit:

NSF Code:

Minority Institution:

### Name and Address of Payee

Payee Name: Deputy Executive Director/Chief Financial Officer  
Florida Keys Aqueduct Authority

Address: 1100 Kennedy Drive

City: Key West

State: FL

Zip: 33040-4021

### Recipient Point Of Contact

Project Manager: Tom Walker

Title: Director of Engineering

Fax: 305-295-2223

E-Mail: [twalker@fkaa.com](mailto:twalker@fkaa.com)

Phone: 305-295-2140

Address: 1100 Kennedy Drive

City: Key West

State: FL

Zip: 33040-4021

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**EPA Contacts**

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**Grant Specialist:** Matthew Bell**GS ID:** MXG**Office:** 1200 Pennsylvania Ave, NW,  
3903R  
Washington, DC 20460**Mail Code:****E-Mail:** Bell.Matthew@epa.gov**Phone:** 202-564-3282

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**Project Officer:** Robert Bastian**Approving Region:** EPA HQ**Title:****E-Mail:** Bastian.Robert@epa.gov**Phone:** 202-564-0653**Address:** 1200 Pennsylvania Ave, NW**Mail Code:** 4204M**City:** Washington**State:** DC**Zip:** 20460

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**Approval Office Div:** Office of Water**Address:** 1200 Pennsylvania Ave, NW**City:** Washington**State:** DC**Zip:** 20460

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**Approval Office Address Printed on the OMB****Region:****Division:****Address:****City:****State:****Zip:**

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**Congressional Liaison:** Barbara Brooks**Phone:** 202-564-2783

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**AAShip/RAShip:** OW - Office of Water

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**Division/Office:**

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**Lab/Office:**

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**Branch:**

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## Project Information

**CFDA:** 66.202 - Congressionally Mandated Projects

**Media:** Water

**Project Title:** Decentralized Wastewater Treatment Demo Project

**Description:** This project will demonstrate decentralized wastewater treatment in the lower Florida Keys. The information will serve as a management information resource and example of the capabilities and advantages of decentralized treatment systems with centralized management. Onsite & decentralized treatment technology will be used to achieve high levels of wastewater treatment as established by the Florida state laws

### Areas Affected by Project

**State(s):** FL

**County/Countries:** Monroe

**City/Cities:** Key West

**Congressional Dist:** 20

**Zip Code(s):**

**Budget Period Cost:** \$4,865,293

**Project Period Cost:** \$4,865,293

**Project Period Start:** 03/01/2010

**Project Period End:** 09/30/2014

**Budget Period Start:** 03/01/2010

**Budget Period End:** 09/30/2014

**Applicant ID:**

**State ID:**

**Statutory Auth:** Appropriations Act of 2000 (PL 106-74)

**Regulatory Auth:** 40 CFR PART 31

**Special Tracking Code:** E - Earmark

**High Risk /  
Special Payment:**

### International

**Work Performed  
outside the U.S.:**

## Competition, EPA Order 5700.5A1

**Was the award made through a competitive process?**

No

**Select the reason why the award was not competed under EPA Order 5700.5A1.**

Novation

**Competition code:**

N

**Award Document Attachments****Fiscal Information**

**Vendor Code:** 596001123AV  
**Accounting Period:** 092010  
**COMPASS Status:** Submitted

Line	Site Name	Req No	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code
001	-	0623C40054	06	E4C	2300ATE	202B51E	4183	3,648,970	-	-
								3,648,970		

**Award Amount**

Funds	Former Award	This Action	Amended Total
EPA Amount This Action:	\$	\$3,648,970	\$3,648,970
EPA In-Kind Amount:	\$	\$	\$0
Unexpended Prior Yr. Bal:	\$	\$	\$0
Other Federal Funds:	\$	\$	\$0
Recipient Contribution:	\$	\$1,216,323	\$1,216,323
State Contribution:	\$	\$	\$0
Local Contribution:	\$	\$	\$0
Other Contribution:	\$	\$	\$0
Allowable Project Cost:	\$0	\$4,865,293	\$4,865,293

**Approved Budget**

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
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11. Total (Share: Recipient 25.00 % Federal 75.00 %.)	\$4,865,293
12. Total Approved Assistance Amount	\$3,648,970
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$3,648,970
15. Total EPA Amount Awarded To Date	\$3,648,970

**Administrative & Programmatic Conditions****Administrative Conditions**

1. In accordance with Section 2(d) of the Prompt Payment Act (P.L. 97-177), Federal funds may not be used by the recipient for the payment of interest penalties to contractors when bills are paid late nor may interest penalties be used to satisfy cost sharing requirements. Obligations to pay such interest penalties will not be obligations of the United States.

2. Rights to inventions made under this assistance agreement are subject to the provisions of Title 37 Code of Federal Regulations (CFR), Part 401, 'Rights to Inventions Made by Nonprofit Organizations and Small Business Firms under Government Grants, Contracts, and Cooperative Agreements', as revised through the date of this assistance agreement.

3. The recipient understands that none of the funds for this project (including funds contributed by the recipient as cost sharing) may be used to pay for the travel of Federal employees or for other costs associated with Federal participation in this project. Except however, if a Federal agency is selected through the recipient's procurement process to carry out some of the work as a contractor to the recipient, funds may be used to allow necessary Federal travel and other costs associated with Federal participation in this project.

**4. UTILIZATION OF SMALL, MINORITY AND WOMEN'S BUSINESS ENTERPRISES****GENERAL COMPLIANCE, 40 CFR, Part 33**

The recipient agrees to comply with the requirements of EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under assistance agreements, contained in 40 CFR, Part 33.

**FAIR SHARE OBJECTIVES, 40 CFR, Part 33, Subpart D**

A recipient must negotiate with the appropriate EPA award official, or his/her designee, fair share objectives for MBE and WBE (MBE/WBE) participation in procurement under the financial assistance agreements.

**Accepting the Fair Share Objectives/Goals of Another Recipient**

The dollar amount of this assistance agreement is \$250,000, or more; or the total dollar amount of all of the recipient's non-TAG assistance agreements from EPA in the current fiscal year is \$250,000, or more. The recipient accepts the applicable MBE/WBE fair share objectives/goals negotiated with EPA by the Florida Department of Environmental Protection as follows:

	MBE	WBE
Construction	9 %	3 %
Supplies	0 %	0 %
Services	0 %	0 %
Equipment	0 %	0 %

By signing this financial assistance agreement, the recipient is accepting the fair share objectives/goals stated above and attests to the fact that it is purchasing the same or similar construction, supplies, services and equipment, in the same or similar relevant geographic buying market as the Florida Department of Environmental Protection.

**Negotiating Fair Share Objectives/Goals, 40 CFR, Section 33.404**

The recipient has the option to negotiate its own MBE/WBE fair share objectives/goals. If the recipient wishes to negotiate its own MBE/WBE fair share objectives/goals, the recipient agrees to submit proposed MBE/WBE objectives/goals based on an availability analysis, or disparity study, of qualified MBEs and WBEs in their relevant geographic buying market for construction, services, supplies and equipment.

The submission of proposed fair share goals with the supporting analysis or disparity study means that the recipient is not accepting the fair share objectives/goals of another recipient. The recipient agrees to submit proposed fair share objectives/goals, together with the supporting availability analysis or disparity study, to the Regional MBE/WBE Coordinator within 120 days of its acceptance of the financial assistance award. EPA will respond to the proposed fair share objective/goals within 30 days of receiving the submission. If proposed fair share objective/goals are not received within the 120 day time frame, the recipient may not expend its EPA funds for procurements until the proposed fair share objective/goals are submitted.

**SIX GOOD FAITH EFFORTS, 40 CFR, Part 33, Subpart C**

Pursuant to 40 CFR, Section 33.301, the recipient agrees to make the following good faith efforts whenever procuring construction, equipment, services and supplies under an EPA financial assistance agreement, and to ensure that sub-recipients, loan recipients, and prime contractors also comply. Records documenting compliance with the six good faith efforts shall be retained:

- Ensure DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local and Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
- Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
- Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, State and local Government recipients, this will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
- Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
- Use the services and assistance of the SBA and the Minority Business Development Agency of the Department of Commerce.
- If the prime contractor awards subcontracts, require the prime contractor to take the steps in paragraphs (a) through (e) of this section.

**MBE/WBE REPORTING, 40 CFR, Part 33, Sections 33.502 and 33.503**

The recipient agrees to complete and submit EPA Form 5700-52A, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements" beginning with the Federal fiscal year reporting period the recipient receives the award, and continuing until the project is completed. Only procurements with certified MBE/WBEs are counted toward a recipient's MBE/WBE accomplishments. The reports must be submitted semiannually for the periods ending March 31st and September 30th for:

Recipients of financial assistance agreements that capitalize revolving loan programs (CWSRF, DWSRF, Brownfields); and

All other recipients not identified as annual reporters (40 CFR Part 30 and 40 CFR Part 35, Subpart A and Subpart B recipients are annual reporters).

The reports are due within 30 days of the end of the semiannual reporting periods (April 30th and October 30th). Reports should be sent to Veronica Squirrel, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW Mail Code 3903R, Room 51275, Washington, DC 20460, 202-564-5347. Final MBE/WBE reports must be submitted within 90 days after the project period of the grant ends. Your grant cannot be officially closed without all MBE/WBE reports.

EPA Form 5700-52A may be obtained from the EPA Office of Small Business Program's Home Page on the Internet at [www.epa.gov/osbp](http://www.epa.gov/osbp).

**CONTRACT ADMINISTRATION PROVISIONS, 40 CFR, Section 33.302**

The recipient agrees to comply with the contract administration provisions of 40 CFR, Section 33.302.

**BIDDERS LIST, 40 CFR, Section 33.501(b) and (c)**

Recipients of a Continuing Environmental Program Grant or other annual reporting grant, agree to create and maintain a bidders list. Recipients of an EPA financial assistance agreement to capitalize a revolving loan fund also agree to require entities receiving identified loans to create and maintain a bidders list if the recipient of the loan is subject to, or chooses to follow, competitive bidding requirements. Please see 40 CFR, Section 33.501 (b) and (c) for specific requirements and exemptions.

5. In accordance with EPA regulations (40 C.F.R. 31.40 for State, local and tribal governments; 40 C.F.R. 30.51 for other recipients), the recipient agrees to submit quarterly progress reports to the EPA Project Officer within thirty days after each reporting period. These reports shall cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The report shall also include any changes of key personnel concerned with the project.

In addition, the report shall include brief information on each of the following areas: 1) a comparison of actual accomplishments with the anticipated outputs/outcomes specified in the assistance agreement work plan; 2) reasons why anticipated outputs/outcomes were not met; and 3) other pertinent information, including, when appropriate, analysis and explanation of cost overruns or high unit costs. The recipient agrees that it will notify EPA of problems, delays, or adverse conditions which materially impair the ability to meet the outputs/outcomes specified in the assistance agreement work plan.

6. By accepting this agreement for the electronic method of payment through the Automated Clearing House (ACH) network using the EPA-ACH payment system, the recipient agrees to:

- (a) Request funds based on the recipient's immediate disbursement requirements by presenting an EPA-ACH Payment Request to your EPA Servicing Finance Office (see EPA-ACH Payment System Recipient's Manual for additional information).
- (b) Provide timely reporting of cash disbursements and balances in accordance with the EPA-ACH Payment System Recipient's Manual; and
- (c) Impose the same standards of timing and reporting on subrecipients, if any.

Failure on the part of the recipient to comply with the above conditions may cause the recipient to be placed on the reimbursement payment method.

7. In accordance with EPA regulations (40 C.F.R. 31.40 for State, local and tribal governments; 40 C.F.R. 30.51 for other recipients), the recipient agrees to submit to the EPA Project Officer within 90 days after the expiration or termination of the approved project period a final report and at least one reproducible copy suitable for printing. The final report shall document project activities over the entire project period and shall include brief information on each of the following areas: 1) a comparison of actual accomplishments with the anticipated outputs/outcomes specified in the assistance agreement work plan; 2) reasons why anticipated outputs/outcomes were not met; and 3) other pertinent information, including, when appropriate, analysis and explanation of cost overruns or high unit costs. The recipient agrees that it will notify EPA of problems, delays, or adverse conditions which materially impair the ability to meet the outputs/outcomes specified in the assistance agreement work plan.

8. Pursuant to 40 CFR 31.41(b) and 31.50(b), EPA recipients shall submit a final Federal Financial Report (SF-425) to EPA no later than 90 calendar days after the end of the project period. The form is available on the internet at <http://www.epa.gov/ocfo/financeservices/forms.htm>. All FFRs must be submitted to the Las Vegas Finance Center: US EPA, LVFC, PO Box 98515, Las Vegas, NV 89193, or by Fax to: 702-798-2423.

The LVFC will make adjustments, as necessary, to obligated funds after reviewing and accepting a final Federal Financial Report. Recipients will be notified and instructed by EPA if they must complete any additional forms for the closeout of the assistance agreement.

EPA may take enforcement actions in accordance with 40 CFR 31.43 if the recipient does not comply with this term and condition.

9. Payment to consultants. EPA participation in the salary rate (excluding overhead) paid to individual consultants retained by recipients or by a recipient's contractors or subcontractors shall be limited to the maximum daily rate for a Level IV of the Executive Schedule (formerly GS-18), to be adjusted annually. This limit applies to consultation services of designated individuals with specialized skills who are paid at a daily or hourly rate. As of January 1, 2010, the limit is \$596.00 per day and \$74.50 per hour. This rate does not include transportation and subsistence costs for travel performed (the recipient will pay these in accordance with their normal travel reimbursement practices).

Subagreements with firms for services which are awarded using the procurement requirements in 40 CFR 30 or 31, as applicable, are not affected by this limitation unless the terms of the contract provide the recipient with responsibility for the selection, direction, and control of the individuals who will be providing services under the contract at an hourly or daily rate of compensation. See 40 CFR 31.36(j) or 30.27(b).

#### 10. HOTEL-MOTEL FIRE SAFETY

Pursuant to 40 CFR 30.18, if applicable, and 15 USC 2225a, the recipient agrees to ensure that all space for conferences, meetings, conventions, or training seminars funded in whole or in part with federal funds complies with the protection and control guidelines of the Hotel and Motel Fire Safety Act (PL 101-391, as amended). Recipients may search the Hotel-Motel National Master List at <http://www.usfa.dhs.gov/applications/hotel/> to see if a property is in compliance (FEMA ID is currently not required), or to find other information about the Act.

11. The chief executive officer of this recipient agency shall ensure that no grant funds awarded under this assistance agreement are used to engage in lobbying of the Federal Government or in litigation against the United States unless authorized under existing law. The recipient shall abide by its respective OMB Circular (A-21, A-87, or A-122), which prohibits the use of federal grant funds for litigation against the United States or for lobbying or other political activities.

12. In accordance with the policies set forth in EPA Order 1000.25 and Executive Order 13423, Strengthening Federal Environmental, Energy and Transportation Management (January 24, 2007), the recipient agrees to use recycled paper and double sided printing for all reports which are prepared as a part of this agreement and delivered to EPA. This requirement does not apply to reports prepared on forms supplied by EPA, or to Standard Forms, which are printed on recycled paper and are available through the General Services Administration.

Any State agency or agency of a political subdivision of a State which is using appropriated Federal funds shall comply with the requirements set forth in Section 6002 of the Resource Conservation and Recovery Act (RCRA) (42 U.S.C. 6962). Regulations issued under RCRA Section 6002 apply to any acquisition of an item where the purchase price exceeds \$10,000 or where the quantity of such items acquired in the course of the preceding fiscal year was \$10,000 or more. RCRA Section 6002 requires that preference be given in procurement programs to the purchase of specific products containing recycled materials identified in guidelines developed by EPA. These guidelines are listed in 40 CFR 247.

13. a. The recipient agrees to:

- (1) Establish all subaward agreements in writing;
- (2) Maintain primary responsibility for ensuring successful completion of the EPA-approved project (this responsibility cannot be delegated or transferred to a subrecipient);
- (3) Ensure that any subawards comply with the standards in Section 210(a)-(d) of OMB Circular A-133 and are not used to acquire commercial goods or services for the recipient;
- (4) Ensure that any subawards are awarded to eligible subrecipients and that proposed subaward costs are necessary, reasonable, and allocable;
- (5) Ensure that any subawards to 501(c)(4) organizations do not involve lobbying activities;
- (6) Monitor the performance of their recipients and ensure that they comply with all applicable regulations, statutes, and terms and conditions which flow down in the subaward;
- (7) Obtain EPA's consent before making a subaward to a foreign or international organization, or a subaward to be performed in a foreign country; and
- (8) Obtain approval from EPA for any new subaward work that is not outlined in the approved work plan in accordance with 40 CFR Parts 30.25 and 31.30, as applicable.

b. Any questions about subrecipient eligibility or other issues pertaining to subawards should be addressed to the recipient's EPA Project Officer. Additional information regarding subawards may be found at <http://www.epa.gov/ogd/guide/subaward-policy-part-2.pdf>. Guidance for distinguishing between vendor and subrecipient relationships and ensuring compliance with Section 210(a)-(d) of OMB Circular A-133 can be found at <http://www.epa.gov/ogd/guide/subawards-appendix-b.pdf> and <http://www.whitehouse.gov/omb/circulars/a133/a133.html>.

c. The recipient is responsible for selecting its subrecipients and, if applicable, for conducting subaward competitions.



14. Management fees or similar charges in excess of the direct costs and approved indirect rates are not allowable. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs which are not allowable under this assistance agreement. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

15. EPA is fully funding this assistance agreement based on the terms of a congressional earmark. If future earmarks are not provided for this project and recipient, supplemental funding for this project is not guaranteed.

16. a. You as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not engage in severe forms of trafficking in persons during the period of time that the award is in effect, procure a commercial sex act during the period of time that the award is in effect, or use forced labor in the performance of the award or subawards under the award.

b. We as the Federal awarding agency may unilaterally terminate this award, without penalty, if a subrecipient that is a private entity

i. Is determined to have violated an applicable prohibition in paragraph 1 of this award term; or

ii. Has an employee who is determined by the agency official authorized to terminate the award to have violated an applicable prohibition in paragraph 1 of this award term through conduct that is either

i. Associated with performance under this award; or

ii. Imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by our agency at as implemented by our agency at 2 CFR part 1532. You must inform us immediately of any information you receive from any source alleging a violation of a prohibition in paragraph 1 of this award term.

c. Our right to terminate unilaterally that is described in paragraph 2 of this section:

i. Implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 U.S.C. 7104(g)), and

ii. Is in addition to all other remedies for noncompliance that are available to us under this award.

d. You must include the requirements of paragraph 1 of this award term in any subaward you make to a private entity.

17. In accordance with OMB Circular A-133, which implements the single Audit Act, the recipient hereby agrees to obtain a single audit from an independent auditor if it expends \$500,000 or more in total Federal funds in any fiscal year. Within nine months after the end of a recipient's fiscal year or 30 days after receiving the report from the auditor, the recipient shall submit a copy of the SF-SAC and a Single Audit Report Package. For fiscal periods 2002 to 2007 recipients are to submit hardcopy to the following address:

Federal Audit Clearinghouse  
1201 East 10th Street  
Jeffersonville, IN 47132

For fiscal periods 2008 and beyond the recipient MUST submit a copy of the SF-SAC and a Single Audit Report Package, using the Federal Audit Clearinghouse's Internet Data Entry System. Complete information on how to accomplish the 2008 and beyond Single Audit Submissions you will need to visit the Federal Audit Clearinghouse Web site:

<http://harvester.census.gov/fac/>

18. Recipients shall fully comply with Subpart C of 2 CFR Part 180 entitled, "Responsibilities of Participants Regarding Transactions Doing Business With Other Persons," as implemented and supplemented by 2 CFR Part 1532. Recipient is responsible for ensuring that any lower tier covered transaction, as described in Subpart B of 2 CFR Part 180, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Recipient is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Recipient acknowledges that failing to disclose the information required under 2 CFR 180.335 may result in the delay or negation of this assistance agreement, or pursuance of legal remedies, including suspension and debarment.

Recipients may access the Excluded Parties List System at <http://www.epls.gov>. This term and condition supersedes EPA Form 5700-49, "Certification Regarding Debarment, Suspension, and Other Responsibility Matters."

19. The recipient organization of this EPA assistance agreement must make an ongoing, good faith effort to maintain a drug-free workplace pursuant to the specific requirements set forth in Title 40 CFR 36.200 - 36.230. Additionally, in accordance with these regulations, the recipient organization must identify all known workplaces under its federal awards, and keep this information on file during the performance of the award.

Those recipients who are individuals must comply with the drug-free provisions set forth in Title 40 CFR 36.300.

The consequences for violating this condition are detailed under Title 40 CFR 36.510. Recipients can access the Code of Federal Regulations (CFR) Title 40 Part 36 at [http://www.access.gpo.gov/nara/cfr/waisidx\\_06/40cfr36\\_06.html](http://www.access.gpo.gov/nara/cfr/waisidx_06/40cfr36_06.html).

20. EPA's financial obligations to the recipient are limited by the amount of federal funding awarded to date as shown on line 15 in its EPA approved budget. If the recipient incurs costs in anticipation of receiving additional funds from EPA, it does so at its own risk.

21. Congress has prohibited EPA from using its FY 2010 appropriations to provide funds to the Association of Community Organizations for Reform Now (ACORN) or any of its subsidiaries. None of the funds provided under this agreement may be used for subawards/subgrants or contracts to ACORN or its subsidiaries. Recipients should direct any questions about this prohibition to their EPA Grants Management Office.

#### Programmatic Conditions

1. The recipient agrees to follow procedures contained in the most current edition of the EPA Region 4, "A Special Appropriations Projects (SPAPs) Grant Procedures Workbook."

2. The alternatives considered for Phase 2, Design and Plan/Specification Preparation, will be based on the results of the Phase I Planning & Existing System Evaluation effort, including close coordination with the ongoing the Florida Department of Health nutrient management study focused on the performance of on-site treatment systems across the state, and include treatment approaches that meet the State of Florida regulatory requirements, are cost-effective, are consistent with the Demonstration Project goals. The recipient will prepare a milestone schedule (as part of Phase 1) in consultation with EPA so that both EPA and the recipient can track progress under the seven phases over project period to make sure that the project stays on track.

3. The recipient will obtain all necessary state and local permits, including a "permit to construct" from the appropriate state agency, coverage under the State's NPDES general permit for construction activities, and/or a Corps of Engineers Section 404 permit (if applicable), prior to initiating construction.

4. The recipient will agree not to initiate construction, until such time as EPA determines that the project complies with the National Environmental Policy Act (NEPA), 42 USC Subsection 4321 et seq., CFR Parts 6 and 1500 et seq. The recipient agrees that upon completion of the NEPA review, design and construction will be undertaken in accordance with the results of the review. EPA reserves the right to unilaterally terminate this cooperative agreement in the event the recipient fails to comply with this condition, in accordance with 40 CFR 31.43.

5. The recipient agrees to obtain EPA Project Officer review and concurrence of plans and specifications for all construction contracts prior to the advertisement for bids.

6. The recipient shall notify the EPA Project Officer of any contract awards made as part of this grant and provide a copy to EPA if so requested.

7. In consultation with the EPA Project Officer, the recipient shall conduct a pre-construction conference for each construction contract awarded as part of this grant.

8. The recipient will assure the EPA that all land, easements, and rights-of-way necessary for the construction of the project have been obtained prior to initiating construction.

9. The EPA Project Officer and other EPA staff may serve as experts to assist in addressing technical issues that may arise during this effort.

10. The EPA, and its delegated representatives, shall have access to the project work site and project records at all times.

11. The recipient has EPA approval to use of grant funds to purchase land and/or easements or use land as match under this grant project. The recipient agrees to provide EPA a copy of the appraisal obtained in accordance with procedures established under 49 CFR 24.103 and provide documentation of the required deed restriction (see condition no. 12).

12. The purchased land parcel(s) or land parcel(s) used as match will require the following deed restriction:

The Florida Keys Aqueduct Authority (FKAA) purchased this land with federal funds/used this land as match under a grant from the U.S. Environmental Protection Agency (EPA), Grant No. XP 83319701-0. FKAA may only use this land, delineated on the attached boundary survey, as a [project description, e.g., storm water retention project], as described in EPA Grant No. XP 83319701-0. FKAA will be responsible for maintaining this deed restriction in perpetuity. In the event FKAA wishes to change the use of the land from the identified grant purpose, the Florida Keys Aqueduct Authority, must contact the EPA Program Office and request written instructions for disposition pursuant to applicable EPA grant regulations.

13. The recipient shall comply with the requirements of 49 CFR Part 24, "Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs," when acquiring land and/or permanent easements as part of this grant.

14. The recipient agrees to comply with 40 CFR 31.31, which addresses the use and disposal of real property acquired under a federal grant.

15. The recipient has obtained EPA approval for pre-award costs occurring on or after March 1, 2010 related to land acquisition costs including surveys, appraisals, options, associated administrative work, etc. for the project covered by this grant. The recipient agrees to submit copies of invoices, appraisals, etc. to EPA for review and approval of any pre-award costs.

16. The recipient agrees to comply with the applicable Cross-Cutting Federal Laws and Authorities listed as an attachment to this grant award document.

17. The recipient will submit its reimbursement request (SF271) and appropriate invoices to EPA and obtain EPA's review of the documents prior to submitting the reimbursement request to the United States Department of Treasury's "Automated Standard Application for Payment" (ASAP) system.

18. The recipient agrees to submit an Acceptable Quality Assurance Documentation to the EPA Project Officer within 90 days of the acceptance of this agreement. (In this case, this may be the QAPP used by the FL Dept. of Health for their on-site treatment systems monitoring program and/or their nutrient management study focused on the performance of on-site treatment systems across the state). No work involving direct measurements or data generation, environmental modeling, compilation of data from literature or electronic media, and data supporting the design, construction, and operation of environmental technology shall be initiated under this project until the EPA Project Officer, in concert with the EPA Quality Assurance Manager, has approved the quality assurance documentation. (See 40 CFR 30.54 OR 31.45 as appropriate). Additional information on these requirements can be found at the EPA Office of Grants and Debarment (OGD) Web Site: <http://www.epa.gov/ogd/qa.htm>

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**EPA Review****Read Access:** Controlled**Approvers:****Submitted:****Due Date:** 04/30/2010

Response	Approver	Date

**Grant Coordinator:** Matthew Bell**EPA Signature****Award Official:** Denise Polk**Printed Name:** Denise A. Polk**Title:** Chief Grants Management  
Branch B**Phone:** 202-564-5306**Delegate:** Phillip Schindel**Title:** Associate Award Official**Phone:** 202-564-5293**Signature:** - Signed by Phillip Schindel/DC/USEPA/US on 06/17/2010 09:30:05 AM, according to /USEPA/US**Recipient Review****Read Access:** Controlled**Applicant POC:****Title:****Phone:****Readers:****Submitted:****Approvers:****Submitted:****Due Date:**

Response	Approver	Date

**Recipient Acceptance****Authorized Rep:****Printed Name:****Title:****Phone:****Delegate:****Title:****Phone:****Signature:****Action Code Table**

Entry	Date	Action
P	03/25/2010	1 - Application Received By EPA
P	04/21/2010	3 - Funding Recommendation w/Change Request Received By GMO
E	06/17/2010	F - Award

**Origination Information****Notifications History**